

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. FRNT.4.US

SERIAL NO.:N/A

APPLICANT: Krasnov, et al.

**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

FILING DATE: Herewith

GROUP: Unknown

**U.S. PATENT DOCUMENTS**

Examiner Initial		DOCKET NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA												
	AB												
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

**FOREIGN PATENT DOCUMENTS**

		DOCKET NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													
	AP													

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

	AQ	Bates, J.B., et al. "Preferred Orientation of Polycrystalline LiCoO <sub>2</sub> Films" <u>Journal of the Electrochemical Society</u> ; Issue No.147 (1) pages 59-70 (2000)
	AR	
	AS	

EXAMINER

DATE CONSIDERED

6/18/03

EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED  
APR 10 2002  
TC 1700

U.S. PATENT APPLICATIONS

Attorney Docket Number: FRN 14.US  
 Inventors: Masnov, et al.  
 Serial Number: 09/815,886  
 Filing Date: 3/22/2001

EXAMINER INITIAL		U.S. PATENT APPLICATIONS
	D1	U.S. Provisional Patent Application entitled, "Comprehensive Patent for the Fabrication of a High Volume, Low Cost Energy Products Such as Solid State Lithium Ion Rechargeable Batter, Supercapacitors and Fuel Cells"; filed March 24, 2000; Serial No. 60/191,774.
	D2	U.S. Provisional Patent Application entitled, "Apparatus and Method for Rechargeable Batteries and for Making and Using Batteries", filed August 14, 2000, Serial no. 60/225,134
	D3	U.S. Provisional Patent Application entitled, "Battery Having Ultrathin Electrolyte", filed October 6 2000, Serial No. 60/238,673
	D4	
	D5	
	D6	
	D7	
	D8	
	D9	
	D10	
	D11	
	D12	
	D13	
	D14	
	D15	

RECEIVED  
 APR 10 2002  
 TC 1700



PTO/SB/08A (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
		Application Number	09/815,886
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Filing Date	3/22/2001
		First Named Inventor	Krasnov
		Art Unit	1745
		Examiner Name	Unknown
		Attorney Docket Number	Front.4.US
Sheet	1	of	2

RECEIVED  
NOV 26 2002  
TC 1700

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 5597660	01-28-1997	Bates et al.	
		US- 6168884	01-02-2001	Neudecker et al.	
		US- 5338625	08-16-1994	Bates et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)			
		JP 2001 044073 A	02-16-2001	Sony Corp	X

Examiner Signature		Date Considered	4/18/03
-----------------------	--	--------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

NOV 18 2002

Under the Paper  
control number

Substitute for form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet	2	of	2
-------	---	----	---

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**Complete if Known**

Application Number	09/815,886
--------------------	------------

<b>Filing Date</b>	3/22/2001
--------------------	-----------

First Named Inventor	Krasnov
----------------------	---------

Group Art Unit	1745
----------------	------

Examiner Name	Unknown
---------------	---------

Attorney Docket Number	Front.4.US
------------------------	------------

#### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner  
Signature

Date  
Considered

4/18/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.